

Common Application Form for Debt & Liquid Schemes / Plans

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units)

Application No. : W

Distributor / Broker ARN
ARN -

Sub-Broker Code

For Office Use Only

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor.

1 EXISTING UNITHOLDER (Please fill in your Folio No. below. Please furnish only KYC and PAN details in Section 2 (if not provided earlier) and proceed to Section 3)

Please note that applicant details and mode of holding will be as per existing Folio Number.

Folio No.

2 APPLICANT'S INFORMATION (Please fill in Block Letters use one box for one alphabet leaving one box blank between two words)

SOLE / FIRST APPLICANT'S PERSONAL DETAILS

Name Mr Ms M/s

PAN (Mandatory)** Enclosed (✓) PAN Card Copy KYC Compliance Proof*

Date of Birth† (Mandatory for Minors)

Occupation‡ (✓) Private Sector Service Public Sector / Government Service Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others (Please specify) _____

Guardian Name (if Sole / First applicant is a Minor) **Contact Person** (in case of Non-individual Investors only)

Mr Ms M/s

PAN (Mandatory)** Enclosed (✓) PAN Card Copy KYC Compliance Proof*

Date of Birth† (Mandatory for Minors) (PAN/KYC Compliant not required for contact person but required for Guardian of Minor)

Nationality† **Country of Residence†**

Address for Correspondence† [P.O. Box Address is NOT sufficient] (Should be same as in CVL records, please refer to point 8 in Important Instructions)

City Pin Code
 State Country

Contact Details

Phone O Extn. Fax
 R Mobile

Receive Account Statement, Annual Reports and other information instantly by e-mail* I/We wish to receive updates via SMS on my mobile (✓)

e-mail

I / We wish to receive the above by email I / We do not wish to receive the above by email * **Note :** Where the investor has not opted for any option or has opted for both options, the application will be processed as per the default option, i.e., receive the account statement, annual reports and other correspondence by e-mail and receive SMS updates on Mobile.

Overseas Address (Mandatory in case of NRI / FII applicant in addition to mailing address) (Should be same as in CVL records, please refer to point 11 in Important Instructions)

State Country City Zip Code

Status (✓) Resident Individual Minor Partnership Company HUF FII NRI Trust Society AOP / BOI Others _____

Mode of Holding (✓) Single Joint Anyone or Survivor

Name of Second Applicant

Mr Ms M/s

PAN (Mandatory)** Enclosed (✓) PAN Card Copy KYC Compliance Proof*

Name of Third Applicant

Mr Ms M/s

PAN (Mandatory)** Enclosed (✓) PAN Card Copy KYC Compliance Proof*

PoA Holder Details* (If the investment is being made by a Constituted Attorney please furnish Name and PAN of PoA holder)

Mr Ms M/s

PAN (Mandatory)** Enclosed (✓) PAN Card Copy

* W.e.f. 01 February, 2008, if the investment is Rs. 50,000 and above, all the applicants including PoA Holder need to be KYC Compliant. Please see point 8 under Important Instructions.

** Please note that w.e.f. 01 January, 2008, copy of PAN Card is Mandatory for all investors (including Joint Holders, Guardian in case of Minor and NRIs). Please submit photocopy of PAN Card (alongwith the original) for verification, which will be return across the counter. Please see point 7 under Important Instructions.

‡ Please note that information sought here will be obtained from CVL also. In case of any differences, the CVL input will apply.

...continued overleaf ⇨

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

Note: This Acknowledgement Slip is for your reference only. Information provided on the form is considered final. **Application No. : W**

Received from application for Units of

Scheme _____ Plan _____ Option _____

Sub-option _____ alongwith Cheque/DDNo. _____ Dated _____

Drawn on (Bank) _____ Amount (Rs.)

Date

Please Note : All purchase are subject to realisation of Instruments.

ISC Stamp, Signature & date

3 BANK ACCOUNT DETAILS (MANDATORY as per SEBI Guidelines)

A/c. No. A/c. Type Current Savings NRO* NRE* * For NRI Investors

Bank Name

Branch Address City

MICR Code RTGS IFSC Code NEFT IFSC Code

▲ (9 digit number next to your Cheque No.) Please also provide a cancelled cheque leaf of the same bank account as mentioned above. Mentioning your RTGS IFSC Code or NEFT IFSC Code, as applicable, will help us transfer the amount to your bank account quicker, electronically.

4 INVESTMENT & PAYMENT DETAILS (Please Scheme / Plan / Option / Sub-option / Dividend Frequency)

Scheme HMIP HIF HGF HFRF HCF HUSBF HFDF Option Regular Institutional Institutional Plus

Plan Sub-option Growth (default) Dividend Reinvestment Dividend Payout

Dividend Frequency Daily** Weekly† Monthly# Quarterly^s Fortnightly[^] Half Yearly^{††}

The scheme name mentioned on the application form and the cheque has to be same. In case of any discrepancy between the two, units will be allotted as per scheme name mentioned on the cheque only.
 ** Applicable for Institutional / Institutional Plus options of HCF, HFRF-ST & HUSBF and Regular option of HFRF-ST, HUSBF-Regular, HCF-Regular and HFDF-Institutional only.
 † Applicable for Institutional / Institutional Plus options of HFRF-ST, HFRF-LT, HUSBF, HCF and Regular option of HFRF-ST, HFRF-LT, HUSBF, HCF only. Dividend Payout in case of HFRF-LT is done only for dividend amount equal to or greater than 1 lacs. # Applicable for Institutional / Institutional Plus Options of HCF, HFRF-ST & LT, HIF-SP, HUSBF, HFDF, HGF and HMIP only. ^s Applicable for HMIP and HIF-IR only. [^] Applicable for Institutional Plus Option of HFDF only. ^{††} Applicable for Regular Option of HFDF. Please note that dividend payout is available only in the Monthly Sub-Option.

Investment Amount (Rs.) DD charges (Rs.) Net Amount (Cheque / DD amount) (Rs.)

Mode of Payment Cheque / DD / Fund Transfer Cheque / DD No. Dated

Cheque / DD Drawn on : Bank

Branch City

A/C No. A/c. Type Current Savings NRO* NRE* (*For NRI Investors)

MANDATORY DECLARATION : The details of the bank account provided above pertain to my / our own bank account in my / our name Yes No. If No, my relationship with the bank account holder is Spouse Child Parent Relative Sibling Friend Others. Application Form without this information is liable to be rejected.

SYSTEMATIC INVESTMENT PLAN (SIP) is available in all Schemes of HSBC Mutual Fund. Investors can opt for SIP by filling up the SIP Application Form and SIP Auto Debit Form which is available with HSBC Investors Service Centres and CAMS Collection Centres.

5 SYSTEMATIC TRANSFER PLAN (STP) (For investors in Scheme(s) where applicable)

Transfer from Scheme HIF HGF HMIP HFRF HCF HUSBF HFDF

Plan

Option Regular Institutional Institutional Plus

Sub-option Growth Dividend Reinvestment Dividend Payout

Dividend Frequency Daily Weekly Monthly Quarterly Fortnightly Half Yearly

Transfer to Scheme HEF HIOF HPTF HMEF HEMF HTSF HDF HMIP

Option Growth Dividend Reinvestment Dividend Payout

Amount per instalment (Rs.)
(Minimum transfer amount Rs.1000/- and in multiples of Re.1/- thereafter)

STP Date 3rd 10th 17th 26th 30th ## All Dates

Instalment commencing ## Last day of the month for February
From / / To / /

6 NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) (ref. important instruction 12)

I/We and (strike out which is not applicable)
do hereby nominate the undermentioned nominee(s) to receive the units allotted to my / our credit in my Folio in the event of my / our death.

Name and Address of Nominee(s) If the nominee is a minor, Name & Address of the guardian is Mandatory

	First Nominee	Second Nominee	Third Nominee
Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
Guardian's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
Address	<input type="text"/>	<input type="text"/>	<input type="text"/>
Allocation %	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth (if nominee is a minor)	<input type="text"/>	<input type="text"/>	<input type="text"/>

7 DECLARATION AND SIGNATURES

The Trustees, HSBC Mutual Fund

Having read and understood the contents of the Combined Scheme Information Document, SAI and Addenda of the Scheme(s) issued till date, I / We hereby apply under Direct / AMFI Certified empanelled distributors to the Trustees of HSBC Mutual Fund for units of the Scheme / Plan / Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I / We have understood the details of the Scheme and I / We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We hereby authorise HSBC Mutual Fund, its Investment Manager and its Agents to disclose details of my / our investment to my/our bank(s) / HSBC Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us. I/We hereby declare that the particulars given above are correct and express my / our willingness to make payments referred above through participation in ECS / Direct Debit Facility. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/ We would not hold HSBC Asset Management (India) Pvt. Ltd. (Investment Manager to HSBC Mutual Fund), their appointed service providers or representatives responsible. I/We will also inform HSBC Asset Management (India) Pvt. Ltd., about any changes in my / our bank account. I/We have read and agreed to the terms and conditions for ECS / Direct Debit. *I/We confirm that I am/we are Non-Residents of Indian Nationality/ Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I / We confirm that the details provided by me / us are true and correct. I / We hereby declare that the amount being invested by me/us in the Scheme(s) of HSBC Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time.

*Applicable to NRI

I / We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Sole / First Applicant / Guardian / PoA	<input type="text"/>
Second Applicant / PoA	<input type="text"/>
Third Applicant / PoA	<input type="text"/>
Date	<input type="text"/>

Applications from investors resident in USA or Canada shall be rejected.
Default options will be applied in cases where the information provided is either ambiguous or has any discrepancy.

CALL US AT

HSBC MUTUAL FUND INVESTOR SERVICE CENTRES :

- Ahmedabad : Tel : 98983 77319 • Bengaluru : Tel : 080 4118 6519 • Chandigarh : Tel : 0172 500 8119 • Chennai : Tel : 044 4200 8719 • Coimbatore : Tel : 98944 77319
- Hyderabad : Tel : 040 6667 4719 • Indore : Tel : 98934 77319 • Kochi : Tel : 98954 77319 • Kolkata : Tel : 033 2213 9919 • Lucknow : Tel : 99367 97319
- Mumbai : Tel : 022 6666 8819 • New Delhi : Tel : 011 4149 0719 • Pune : Tel : 020 2600 1119 • Vadodara : Tel : 98983 77319

CAMS CENTRES: Tel.: 1-800-200-2267

Contact us at hsbcmf@hsbc.co.in

Visit us at www.assetmanagement.hsbc.com/in

Important Instructions

- 1) Please refer to the Combined Scheme Information Document, Statement Additional Information and Addendum thereto and the Common Key Information Memorandum of the respective Scheme(s) carefully before filling the Application Form.
- 2) Please refer the section on "Who can invest?" for a list of eligible investors in the Combined Scheme Information Document.
- 3) All applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- 4) **Investors are requested to use the services of AMFI certified Distributors empanelled with the AMC. The AMC shall not be liable to an Investor, with respect to investments made through non-empanelled Distributors.**
- 5) In respect of purchase of units in Income / Debt oriented Schemes (other than Liquid Fund Schemes and Plans) with amounts equal to or more than Rs. 1 crore, irrespective of the time of receipt of application, the closing NAV of the day on which the funds are available for utilisation shall be applicable.
- 6) **Applications under Power of Attorney / Body Corporate / Registered Society / Trust / Partnership**

The original Power of Attorney or a duly notarised copy of the Power of Attorney shall be required to be submitted where applications are made under a Power of Attorney.

A company, body corporate, eligible institutions, registered society, trusts, partnership or other eligible non-individuals who apply in the schemes should furnish a certified copy of resolution or authority to make the application as the case may be and a certified copy of the Memorandum and Articles of Association and / or bye-laws and / or Trust Deed and / or Partnership Deed and certificate of registration or any other document as the case may be. In case of a Trust / Fund, it shall submit a certified true copy of the resolution from the Trustee(s) authorising such purchases. The officials should sign the application under their official designation and furnish a list of authorised signatories. All communications and payments shall be made to the First Applicant only.
- 7) **PAN Details (PAN Mandatory for all investments)**

As per Securities and Exchange Board of India (SEBI) Circular dated 27 April, 2007 and letter dated 25 June, 2007, Permanent Account Number (PAN) has been made the sole identification number for all participants investing in the securities market, irrespective of the amount of investment.

With effect from 1 January, 2008, for all applicable transactions, investors will need to submit a photocopy of their PAN card, which shall have been either verified with the original or verified / attested by AMFI registered distributors, bank managers or judicial authorities. The PAN requirements will be applicable to all joint applicants as well as the Guardian, in case of applications by a Minor. As per SEBI circular no. MRD/DoP/MF Cir - 08/2008 dated April 3, 2008 investors residing in the state of Sikkim are exempted from the mandatory requirement of PAN for their investments in Mutual Funds. However, this would be subject to verification of the veracity of the claim of the investors that they are residents of Sikkim, by collecting sufficient documentary evidence.

Accordingly, submission of Form 49A and/ or declaration in Form 60/61 will not be accepted. Hence, all applicable transactions not accompanied by a duly verified/ attested copy of PAN card are liable to be rejected.
- 8) **Know Your Customer (KYC)**

KYC is mandatory under the Prevention of Money Laundering Act, 2002 for all applications for subscriptions of Rs. 50,000 and above. This will be applicable for investments from individual investors including joint holding / institutional customers / other non-institutional investors / investments through power of attorney holders / investments of minor through guardian.

The KYC requirements can be completed by filling up the prescribed form and submitting the same along with the other requisite details / proof (attested true copies of supporting documents relating to proof of identity and address or verification with the original) to a designated Point of Service (PoS) of CDSL Ventures Limited (CVL). Any subsequent change to Address, Pin Code, Country, Nationality, Occupation, Income details, Date of Birth, Proof of Identity need to be communicated to CVL ONLY. For details of the process and list of PoS, please visit our website <http://www.assetmanagement.hsbc.com/in> In case investor has completed the KYC Compliance process, without submitting a copy of PAN Card, he/she must forthwith provide a copy of PAN Card along with the copy of KYC compliance acknowledgement to CVL.

In view of this, with effect from February 01, 2008 each investor (including joint unit holder) who wishes to invest an amount of Rs. 50,000/- or more need to submit a copy of the acknowledgement of KYC/ printout of KYC status (status can be downloaded from CVL website (www.cvlindia.com) using the PAN number) along with the application form for investing in the schemes of Mutual Fund.
- 9) Investors are requested to note that information will be obtained from CVL database and information in the AMC records will be overwritten. Any discrepancy in the application on account of address or residence status the application will be rejected and the money will be refunded upon confirmation from CVL.
- 10) Subject to the SEBI (MF) Regulations, any application for Units may be accepted or rejected in the sole and absolute discretion of the Trustee. The Trustee may inter alia reject any application for the purchase of Units if the application is invalid or incomplete or if the Trustee for any other reason does not believe that it would be in the best interest of the Scheme or its Unit holders to accept such an application.
- 11) **NRIs, Persons of Indian Origin, FIIs**

The Foreign Exchange Management (Transfer or Issue of Security by a Person Resident Outside India) Regulations, 2000 (the "FEMA Regulations") permit a NRI / POI to purchase on repatriation or non-repatriation basis, without limit, units of domestic mutual funds. Payment for such units must be made either by cheque or demand draft by means of: (i) inward remittance through normal banking channels; or (ii) out of funds held in the NRE / FCNR account, in the case of purchases on a repatriation basis or out of funds held in the NRE / FCNR / NRO account, in the case of purchases on a non-repatriation basis.

Investments by NRIs / FIIs shall be in accordance with the prevailing laws governing such investments.

Payment by the FII must be made either by inward remittance through normal banking channels or out of funds held in foreign currency account or non resident rupee account maintained by the FII with a designated branch of an authorised dealer in terms of paragraph 2 of Schedule 2 to the FEMA Regulations.
- 12) **Nomination Details**

Applicants applying for Units singly / jointly can make the nomination at the time of initial investment.

 - a) The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form.
 - b) The investors/Unitholders are requested to note that a maximum of three nominees can be registered for a Folio. In case of multiple nominees, the 'Share / Ratio' of nomination has to be clearly specified in the nomination form / request letter. If the 'Share / Ratio' of nomination is not explicitly stated, then the nomination shall be treated at 'Equal Share/Ratio'.
 - c) A minor can be nominated and in that event, the name, address and PAN of the Guardian of the minor nominee (in case the minor does not have PAN) shall be provided by the Unitholder.
 - d) The Nominee shall not be a trust, (other than a religious or charitable trust) society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination can also be made in favour of the Central Government, State Government, Local Authority, any person designated by virtue of his office or a religious or charitable trust.
 - e) Nomination in respect of the Units stands rescinded upon the transmission of Units.
 - f) Transmission of Units in favour of a Nominee shall be valid discharge by the AMC / Mutual Fund / Trustees against the legal heir.
 - g) The cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination.
 - h) On cancellation of the nomination, the nomination shall stand rescinded and the AMC / Mutual Fund / Trustees shall not be under any obligation to transmit the Units in favour of the Nominee.

Instructions for filling up the Application Form

1. General Information

- a) The Application Form should be completed in ENGLISH in BLOCK LETTERS only. Please Tick (✓) in the appropriate box (), where boxes have been provided.
- b) **Existing investors of HSBC Mutual Fund who have provided their PAN earlier and wanting to make an investment will need to fill up ONLY their Folio Number in Section 1 and then please proceed to section 4. However, new investors will be required to fill up the Applicant's Details in Section 2.**

2. Applicant's Information

Applicants must provide all the details under Section 2 of the Application Form.

- a) Name and address must be given in full (P.O. Box Address alone is not sufficient). In case of NRIs / FIIs, 'Overseas Address' should also be provided.
- b) Please provide the name of the Contact Person in case of investments by a Company / Body Corporate / Partnership Firm / Trust / Society / FIIs / Association of Persons / Body of Individuals.

- c) Investor has an option to receive communication through email. The investor always has a right to demand a physical copy of any or all the documents in respect of the services provided by the Fund.

3. Bank Account Details

Applicants should provide the name of the bank, branch address, account type and account number of the Sole / First Applicant. As per SEBI guidelines, **it is mandatory for investors to mention their bank account details in the Application Form.** AMC will endeavour to directly credit redemption / dividend proceeds in customer's bank account wherever possible. *Applications without this information will be rejected.* At present, the AMC has direct credit tie-ups with following banks :

ABN AMRO Bank • Centurion Bank • Citibank N A • DBS Bank Ltd. • Deutsche Bank AG • HDFC Bank Ltd. • HSBC Bank • ICICI Bank • IDBI Bank Ltd. • IndusInd Bank Limited • ING Vysya Bank • Kotak Mahindra Bank Ltd. • Standard Chartered Bank • UTI Bank Ltd. • Yes Bank.